

1 **Vulnerability of cloud forest reserves in Mexico to climate change**

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## 39 **Vulnerability of cloud forest reserves in Mexico to climate change**

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41

42 Tropical montane cloud forests are among the most vulnerable terrestrial ecosystems to climate  
43 change<sup>1-3</sup> owing to their restricted climatic requirements and their narrow and fragmented  
44 distribution<sup>4</sup>. Although 12% of Mexican cloud forest is protected, it is not known whether  
45 reserves will ensure the persistence of the ecosystem and its endemic species under climate  
46 change. Here, we show that 68% of Mexico's cloud forest could vanish by 2080 because of  
47 climate change, and more than 90% of currently protected cloud forest will not be climatically  
48 suitable for that ecosystem in 2080. Moreover, if we assume unprotected forests are cleared, 99%  
49 of the entire ecosystem could be lost through a combination of climate change and habitat loss,  
50 resulting in the extinction of about 70% of endemic cloud forest vertebrate species. Immediate  
51 action is required to minimise this loss—expansion of the protected area estate in areas of low  
52 climate vulnerability is an urgent priority. Our analysis indicates that one key area for immediate  
53 protection is the Sierra de Juárez in Oaxaca. This area supports many endemic species and is  
54 expected to retain relatively large fragments of cloud forest despite rapid climate change.

55

### 56 **Main**

57 Cloud forests occur only within narrow altitudinal limits, and contain a highly specialized suite  
58 of species dependent on montane topography and cloud-related microclimates. In Mexico, cloud  
59 forests account for 1% of land area, but support the highest concentration of plant and animal  
60 diversity of any Mexican ecosystem and they constitute the second richest ecosystem for  
61 endemic terrestrial vertebrates in Mesoamerica<sup>5</sup>. While habitat loss and degradation by human

62 encroachment are the chief contemporary threats to cloud forests globally<sup>6</sup>, the narrow  
63 environmental tolerance of this ecosystem suggests that human-induced climate change could  
64 constitute an even greater peril in the near future. Changes in regional temperature and  
65 precipitation patterns are already influencing the extent and distribution of these forests<sup>1,2</sup>, so it is  
66 essential that we understand how future climate change will affect cloud forests<sup>7</sup>.

67  
68 Based on climate projections for 2080, we estimated the potential distribution and extent of  
69 Mexican cloud forests and the loss of cloud forest endemic species due to habitat loss (see  
70 Methods). We forecasted the current and future overlap with protected areas<sup>8</sup> for two different  
71 scenarios. In the first scenario we estimated only the climate-driven threat, and in the second, we  
72 added the impacts of potential land-use change. To account for uncertainties inherent in climate  
73 projections<sup>7</sup> our distribution modelling was based on a consensus approach using seven global  
74 circulation predictions based on the SRES A1b emissions scenario (see Methods). We preferred  
75 the use of the global circulation models instead of building a regional climate model for Mexico  
76 because of the potentially limited accuracy of the latter<sup>9</sup>. Owing to strict climatic requirements,  
77 slow growth, short dispersal distances, poor competitive ability, and an archipelagic distribution,  
78 it is likely that the rate at which suitable conditions for cloud forests are reduced spatially will be  
79 orders of magnitude greater than the potential expansion rate of cloud forests<sup>4</sup>. There is evidence  
80 of long distance dispersal of cloud forests seeds<sup>6</sup>, however even assuming propagules arrive in an  
81 area with suitable climatic conditions (and no competition) they would still need between 200  
82 and 300 years to become established. For this reason, we restricted predictions of suitable  
83 environments to areas within the current distribution of cloud forest, essentially assuming that  
84 cloud forest is unable to colonise new areas within the short time frame of this analysis (70  
85 years). We derived the number of vertebrate species by searching the literature<sup>5,10</sup> and updated it

86 with data from the IUCN red list, AmphibiaWeb (<http://amphibiaweb.org/>), Avibase  
87 (<http://avibase.bsc-eoc.org/>), Birdlife International (<http://www.birdlife.org>), and experts (see  
88 acknowledgements). We then estimated the persistence of these endemic species under both  
89 scenarios using two different approaches: 1) the species area curve and 2) the overlap of our  
90 models with distributional range maps for each species.

91

92 Climatically suitable areas for cloud forest in Mexico will decline by 68% to about 5,600 km<sup>2</sup> by  
93 2080 (Figs. 1,2 and Table 1), and so we expect the distribution of cloud forest to decline by at  
94 least this area. Protected areas cover about 15% of today's Mexican cloud forests, but the  
95 protected area estate barely overlaps (<1%, covering 160 km<sup>2</sup>) with areas that are climatically  
96 suitable for cloud forest in 2080 (Fig. 1, see Methods). Regional analyses of the spatial  
97 distribution of protected areas highlight the unfortunate location of most of them (Table 1).  
98 Chiapas (Fig. 2C), in the south-east of Mexico, has the largest extent of cloud forests (6,037 km<sup>2</sup>)  
99 with about a quarter of those forests currently protected (Table 1). However, the climatic  
100 conditions predicted to occur in 2080 will be unsuitable for cloud forest across 87% of its current  
101 distribution in this region. Of this area, only about 33 km<sup>2</sup> (<1%) corresponds with remaining  
102 forest under our second scenario (Table 1, Fig. 2C). The opposite is the case in Oaxaca (Fig.2B),  
103 where only 175 km<sup>2</sup> (3%) of the 5,160 km<sup>2</sup> of cloud forests occurring there are currently  
104 protected. Of the present extent, about 2,326 km<sup>2</sup> (45%) is predicted to remain if no further  
105 anthropogenic clearing occurs, but only 66 km<sup>2</sup> will remain if all unprotected forest is cleared by  
106 2080. These results identify a serious spatial mismatch between currently protected areas and  
107 those likely to remain after near-term climate change, and highlight the importance of

108 considering the probability of persistence of cloud forests under climate change when  
109 designating areas for conservation.

110  
111 According to our calculations based on a species area curve, the loss of cloud forest directly  
112 attributable to climate change would lead to the extinction of 9 of the 37 vertebrates restricted to  
113 a region of Mexican cloud forest (see Methods and Table 2). Furthermore, if all unprotected  
114 cloud forest is cleared, we estimate that 26 endemic vertebrate species could be lost across the  
115 Mexican cloud forest as a whole (see Methods). The results of overlapping the distributional  
116 range maps were even more striking, with 18 of the 37 vertebrate species' geographic ranges  
117 overlapping by less than 10% with climatically suitable areas for cloud forests in 2080 (Table  
118 S2). The distributions of 30 species did not overlap at all with climatically suitable areas  
119 remaining within protected areas in 2080 (Table S2). Chiapas was one of the regions  
120 proportionately most exposed to losses of endemic species with one of its three endemic species  
121 threatened under the first scenario and two species under the second scenario (Fig. 2C, Table 2).  
122 In contrast, in Oaxaca between 15% and 65% of the 26 species restricted to cloud forest in the  
123 region are expected to disappear according to our first and second scenario respectively (Fig. 2B,  
124 Table 2).

125  
126 Cloud forest appears to be among the world's terrestrial ecosystems most vulnerable to short-  
127 term climate change impacts. Our predictions of a loss of 68% of climatically suitable habitat for  
128 Mexican cloud forest is consistent with the loss of 65% of Costa Rican cloud forest predicted in  
129 1992<sup>11</sup>. Although changes in climate and land use are not the only threats to cloud forests they  
130 could catalyse the impact of other threats, such as chytridiomycosis, the fungal infection that is

131 affecting large number of amphibian species in the tropics<sup>1,12</sup>. Adjustments in cloud forest  
132 assemblages in response to climate change are already noticeable in other parts of the world (e.g.  
133 Costa Rica<sup>1</sup>). The decline in climatically suitable areas for cloud forest may not result in the  
134 immediate loss of the cloud forest, but the vegetation communities will likely be transformed as  
135 the ecological processes that structure them are altered by a changing climate<sup>13</sup>. Recent studies  
136 have analysed the impacts of deforestation in cloud formation over cloud forests<sup>7</sup>, the shifting  
137 trends in regional precipitation and fog frequency<sup>7</sup>, and the impacts of fire due to climate  
138 changes<sup>7</sup>. However, we have delineated the areas of high climatic vulnerability and shown how  
139 poorly protected areas are aligned with those vulnerable areas, as well as predicted the loss of  
140 endemic species as a result of climate change.

141  
142 Deforestation in Latin America's tropical areas is expected to be one of the most serious  
143 biodiversity impacts in the region<sup>14</sup>. The deforestation rate in Mexico during the second half of  
144 the 20<sup>th</sup> century is among the highest in the world<sup>15-17</sup>, and the 1.1% annual deforestation rate of  
145 montane tropical forests is the highest among all tropical vegetation types<sup>6</sup>. We therefore expect  
146 that the most likely scenario is for cloud forest to become increasingly restricted to protected  
147 areas. It would be interesting to model future deforestation empirically using recently available  
148 techniques based on environmental and socio-economic parameters (see<sup>18,19</sup> for a review).  
149 Nonetheless, in the case of Mexico at least, the current protected area estate barely overlaps with  
150 places where forest will persist in a rapidly changing climate.

151  
152 Several actions could be taken to enhance the persistence of the Mexican cloud forests. A first  
153 could be to increase the number of protected areas in regions of predicted climatic suitability for

154 forest persistence. This could prevent land use change from eliminating tracts of cloud forest that  
155 are buffered from climate change. However, the participation of traditional land owners is  
156 required if new protected areas are designated. One candidate for protection is the Sierra de  
157 Juárez (Fig. 2B), a region of high endemism (Fig.3) where much of the large fragment of cloud  
158 forest seems likely to remain climatically suitable for that habitat type until at least 2080 (Fig.  
159 2B). Of the 157 priority species that the Alliance for Zero Extinction<sup>20</sup> (AZE;  
160 [www.zeroextinction.org](http://www.zeroextinction.org)) identified across Mexico, 22 of them occur only in the Sierra de Juárez  
161 and the area has been designated as an AZE site. Given that land clearing does still occur within  
162 protected areas<sup>21</sup>, protection of new sites alone might be insufficient. Improved management of  
163 existing protected areas will also enhance the conservation status of Mexican cloud forests and  
164 its species, and more radical conservation interventions such as assisted colonization<sup>22,23</sup> of  
165 species occurring in areas of high climatic vulnerability might be worthwhile in this instance, not  
166 least because many species endemic to cloud forest have narrow and fragmented global  
167 distributions. Finally, if bold measures are not taken very soon to reduce the concentration of  
168 greenhouse gases, these forests are unlikely to survive in their present configuration and with  
169 anything near their present diversity very far into the 21st century.

170

171

## 172 **Methods**

### 173 **Modelling the distribution of cloud forest**

174 We used Maxent ver. 3.33<sup>24</sup>, a presence-only distribution modelling algorithm, to model the  
175 present extent of Mexican cloud forest based on climatic variables, and then projected this model  
176 to future climate scenarios for 2080. We obtained a map of current cloud forest distribution from

177 the Mexican government<sup>25</sup>, and converted this into a 1 km presence / absence grid for analysis.  
178 We randomly selected 1317 grid cells (square root of the total extent) to use as presence points to  
179 train the model, and generated 30,000 background points across Mexico. We evaluated model  
180 performance using 10-fold cross-validation, and calculated the mean area under the receiver  
181 operating characteristic curve (AUC<sup>26</sup>). We used AUC as a metric to compare among models  
182 without using thresholds. The AUC indicates the probability that a presence site randomly  
183 chosen will be ranked above a randomly chosen absence site<sup>24</sup>. An AUC score above 0.7 is  
184 considered good model performance<sup>27</sup>. Resulting mean AUC values of all models were between  
185 0.961 and 0.962 indicating excellent prediction of present-day cloud forest distribution and  
186 confirming the utility of these models for making projections of future forest distributions. We  
187 converted the logistic output from Maxent into a presence / absence grid using the threshold at  
188 which training sensitivity equalled specificity<sup>28</sup>, in other words, where positive and negative  
189 observations have equal chance of being correctly predicted<sup>27</sup>.

190

## 191 **Uncertainty**

192 To account for the uncertainties inherent in climate projections we took a consensus approach  
193 using seven global circulation models based on the SRES A1b emissions scenario. This is  
194 represented in Figure S1, darker blue and red colours indicate increasing certainty in predictions  
195 of presence or absence of cloud forest, respectively. We assumed that if climate data from a  
196 majority of global circulation models (four or more) predicted presence in an area, the balance of  
197 evidence was that the area would retain cloud forest in 2080 (all blue colours in Fig. S1). If fewer  
198 than four models predicted presence, our consensus model suggested forest loss (all red colours  
199 in Fig. 1). We used this threshold of agreement among four models to report our primary results



200 about the future distribution of cloud forest and the extinctions of endemic species, and  
201 sensitivity analysis indicated that the results were robust to the exact choice of threshold (see  
202 Supplementary Information).

203

#### 204 **Climate data**

205 Current climate data were obtained from WorldClim<sup>29</sup> version 1.4 at a resolution of 30 arc  
206 seconds. Some authors have criticised the performance of WorldClim in montane systems  
207 because considerable variation in temperature can occur within one square kilometre<sup>30</sup>. However  
208 others have demonstrated that WorldClim reflects well the data from weather stations in close  
209 proximity to cloud forests<sup>31</sup>. Future climate predictions at the same resolution for 2080 based on  
210 the SRES A1b scenario<sup>32,33</sup> were obtained from CIAT<sup>33</sup> for seven alternative global circulation  
211 models, namely CCCMA-CGCM31, CSIRO-MK30, IPSL CM4, MPI ECHAM5, NCAR  
212 CCSM30, UKMO HADCM3, and UKMO HADGEM1. These models predict an increase in  
213 global mean temperature between 1.7 and 4.4° C thus covering a wide range of possible future  
214 climates. Scenario A1b is an emissions scenario reflecting balanced energy sources. It is part of  
215 the A1 family of scenarios representing an integrated world with fast economic growth and with  
216 a rapid spread of new and efficient technologies<sup>32</sup>.

217

218 We selected the following biologically relevant climate variables for developing the distribution  
219 models: annual mean temperature, temperature seasonality, mean temperatures of the coldest and  
220 warmest quarters, annual mean precipitation, precipitation seasonality, and mean precipitation of  
221 wettest and driest quarter<sup>29</sup>. We also used data on soil types to characterize areas suitable for  
222 cloud forest formation<sup>34</sup>. Factors such cloud frequency, fog presence, wind speed and direction

223 may significantly affect the formation and maintenance of cloud forests but since there are no  
224 reliable data on their likely trajectories under future climates we couldn't consider them directly  
225 here<sup>7</sup>. We discarded altitude as a predictor because it is a surrogate for climatic variables rather  
226 than a direct driver of habitat suitability.

227

## 228 **Estimating endemic species extinctions**

229 Cloud forests have a fragmented distribution, so we calculated the impact of habitat loss on  
230 species persistence separately for six regions within the Mexican cloud forest system. Forty-two  
231 vertebrate species of Mexican cloud forest endemics with available distributional range maps  
232 that overlapped current cloud forest are restricted to one region only (Table S1). This figure was  
233 calculated based on the only list of vertebrates for Mexico<sup>5</sup> but updated with data from the IUCN  
234 red list, AmphibiaWeb (<http://amphibiaweb.org/>), Avibase (<http://avibase.bsc-eoc.org/>), Birdlife  
235 International (<http://www.birdlife.org>), literature<sup>10</sup> and experts (see acknowledgements). To  
236 estimate the number of endemic species at risk of extinction through habitat loss we followed  
237 two approaches: 1) a simple species-area relationship<sup>3</sup> and 2) overlapping the range maps with  
238 our consensus models to predict which parts of species' geographic distributions will be lost and  
239 which will remain.

240

## 241 **Species area relationship**

242 The species-area relationship had the form of  $S = cA^z$  where  $S$  is the number of species,  $A$  is  
243 habitat area, and  $c$  (the  $y$ -intercept) and  $z$  (the slope) are constants. We used  $z = 0.25$  given that  
244 cloud forest is a fragmented habitat with high species richness<sup>35</sup>. Values of  $A$  corresponding to  
245 current cloud forest, its predicted future extent under climate change, and the remaining cloud

246 forest that overlaps protected areas are respectively  $A_0 = 17,274 \text{ km}^2$ ,  $A_1 = 5,557 \text{ km}^2$  and  $A_2 =$   
247  $151 \text{ km}^2$ . Values of  $A$ , together with species richness and extinction estimates for these regions,  
248 are shown in Table 2.

249

## 250 **Geographic distributions**

251 We overlaid the distributional range maps for each species with a) the current distribution of  
252 cloud forests (CF 2010; Fig. 3; Table S1); b) the current cloud forest within a protected area (CF  
253 PA 2010; Table S1); c) our consensus model of suitable areas of cloud forest distribution under a  
254 climate change scenario for 2080 (CF 2080; Table S1); and d) the suitable areas for cloud forests  
255 within protected areas for 2080 (CF PA 2080; Table S1; assuming that land use change will  
256 result in all unprotected forest being cleared).

257

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338

339

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344

#### 345 **Author contributions**

346 R.P.R., J.E.M.W., V.H.R., J.VW., R.L.P., H.P.P. designed the study. R.P.R performed the  
347 research. R.P.R and R.A.F. analysed the data. All the authors wrote the paper.

348

#### 349 **Competing Financial Interests statement**

350 The authors declare no competing financial interests.

351

352

#### 353 **Figure legends**

354

355 **Fig. 1.** Cloud forest extent and protection for 2010 and 2080. The total area of Mexican cloud  
356 forest in 2010 was 17,320 km<sup>2</sup>. Cloud forest that is currently unprotected but predicted to remain  
357 climatically suitable for cloud forest in 2080 (5,600, km<sup>2</sup>) is shown in dark purple. Unprotected  
358 cloud forest predicted to become climatically unsuitable by 2080 (8,850 km<sup>2</sup>) is coloured light  
359 purple. Protected cloud forest predicted to be climatically suitable in 2080 (160 km<sup>2</sup>) is orange,  
360 while protected forest predicted to be climatically unsuitable by 2080 (2,710 km<sup>2</sup>) is green.

361

362 **Fig. 2.** Current and projected distribution of Mexican cloud forest. The colour scheme follows  
363 that of figure 1. (A) Sierra Madre Oriental, (B) Oaxaca with the Sierra de Juárez marked by a

364 rectangle, (C) Chiapas, (D) Sierra Madre del Sur, (E) Eje Transvolcánico, (F) Sierra Madre  
365 Occidental.

366

367 **Fig.3.** Overlap of geographic ranges of vertebrates restricted to a single cloud forest region with  
368 the current extent of cloud forest. Areas coloured red have no endemic vertebrate species; areas  
369 in orange have between one to four species and the blue region (Sierra de Juárez) has between  
370 five and nine species. (A) Sierra Madre Oriental, (B) Oaxaca with the Sierra de Juárez marked by  
371 a rectangle, (C) Chiapas, (D) Sierra Madre del Sur, (E) Eje Transvolcánico, (F) Sierra Madre  
372 Occidental.

373



374 **Table 1** Current extent of Mexican cloud forest by region and coverage by protected areas.  
 375 Scenario 1 assumes that all cloud forest in areas remaining climatically suitable in 2080 remains  
 376 intact, while scenario 2 assumes all unprotected cloud forest is cleared.

377

	<b>Region</b>	<b>Cloud forest extent (km<sup>2</sup>)</b>	<b>Cloud forest in protected areas 2010 (km<sup>2</sup>)</b>	<b>Remaining cloud forest under scenario 1 (km<sup>2</sup>)</b>	<b>Remaining cloud forest under scenario 2 (km<sup>2</sup>)</b>
A	Sierra Madre Oriental	3,768	201	1,694	33
B	Oaxaca	5,160	175	2,326	65
C	Chiapas	6,037	1,687	797	45
D	Guerrero	1,556	4	685	4
E	Eje Transvolcánico	255	83	41	3
F	Sierra Madre Occidental	498	142	14	2
	Total	17,274	2,045	5,557	151

378

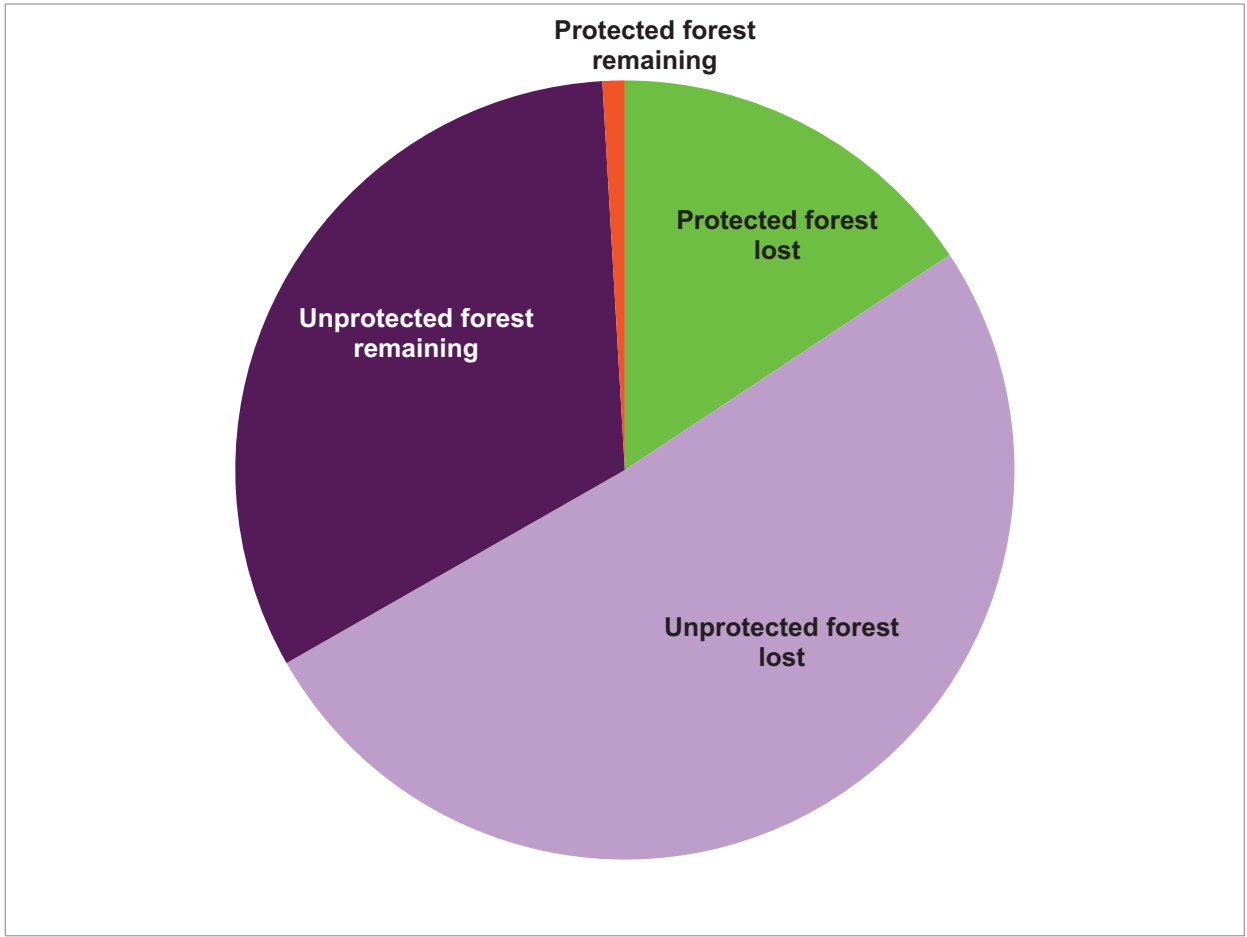
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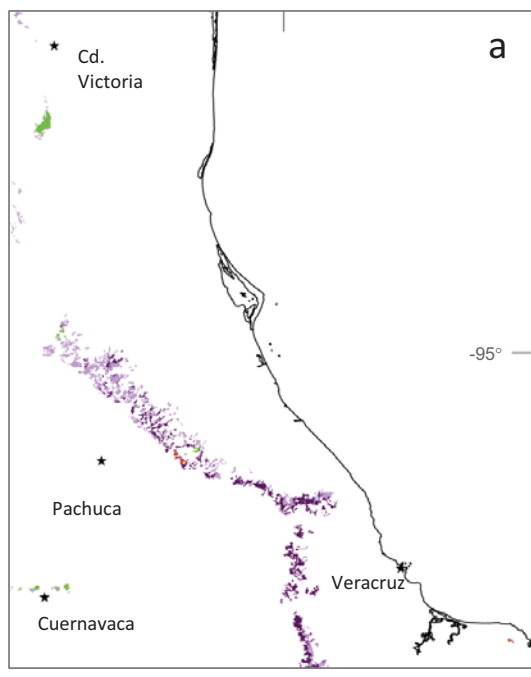
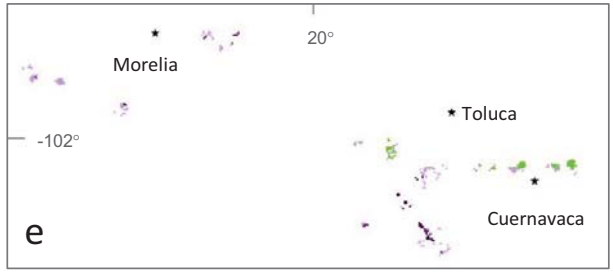
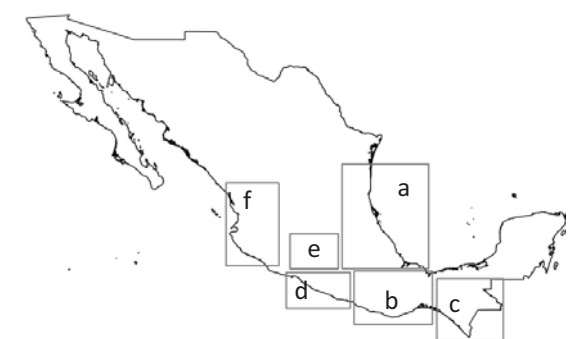
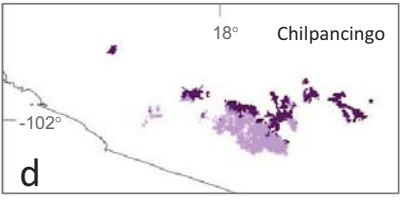
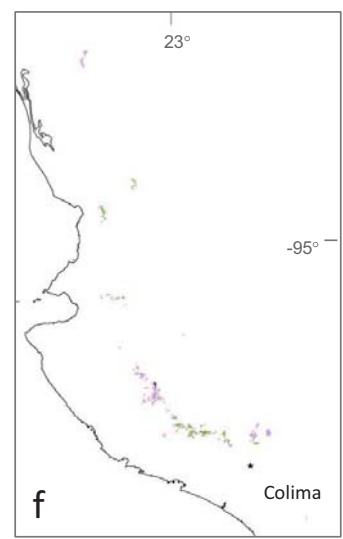
380  
 381 **Table 2.** Predicted changes in habitat area ( $A$ , km<sup>2</sup>) and number of endemic vertebrate species  
 382 ( $S$ ) in Mexican cloud forest. Subscripts indicate current values (0), values for 2080, with  
 383 reduction due to climate change, assuming all unprotected cloud forest remains intact (1), and  
 384 values for 2080 assuming the effects of both climate change and clearing of all unprotected cloud  
 385 forest (2). Regional values for species sum to less than the total because some species occur in  
 386 more than one region.  
 387

	<b>Region</b>	<b>S<sub>0</sub></b>	<b>A<sub>0</sub></b>	<b>S<sub>1</sub></b>	<b>A<sub>1</sub></b>	<b>S<sub>2</sub></b>	<b>A<sub>2</sub></b>
A	Sierra Madre Oriental	4	3,768	3	1,694	1	33
B	Oaxaca	26	5,160	21	2,326	9	65
C	Chiapas	3	6,037	2	797	1	45
D	Guerrero	4	1,556	3	685	1	4
E	Eje Transvolcánico	0	255	0	41	0	3
F	Sierra Madre Occidental	0	498	0	14	0	2
	All	37	1,7274	28	5,557	11	151

388

389





- ★ City
- Protected forest lost
- Protected forest remaining
- Unprotected forest lost
- Unprotected forest remaining

